

10-16-01

A

PATENT
674515-2003
Attorney Docket No.

Patent Application Transmittal
(only for new nonprovisional applications
under 37 C.F.R. 1.53(b))
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JC986 U.S. PTO
09/977880
10/15/01

Date: October 15, 2001

TO: ASSISTANT COMMISSIONER FOR PATENTS
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application
for patent in the names of:

Barry C. HOLDSTOCK and Trevor O. GLASEY

Entitled: **PROCESS FOR THE PREPARATION OF A DIOL**

— This is an application of a small entity under 37 CFR 1.9(f) and the amounts
shown in parentheses below have been employed in calculating the fee.

— Undersigned attorney hereby states that this is an application of a Small Entity.

The following are enclosed:

 X Specification (23 Pages)

 X 2 Sheet(s) of Drawings

 X 22 Claims (including 2 independent claims)

— This application contains multiple dependent claim(s)

 X PCT/RO/101, PCT/ISA/220, 210, PCT/IPEA/401, 408, 409, 416

— Petition To Make Special

— Preliminary Amendment To Comply With Requirements For Patent Applications
Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures

 X Our checks totaling \$816.00, calculated on the basis of the claims as amended by
any enclosed preliminary amendment as follows:

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Basic Fee
\$740.00 (370.00) 740.00

Total Number of Claims in excess
of 20 at \$18.00 (9.00) each 36.00

Number of Independent Claims in
excess of 3 at \$84.00 (42.00) each 000.00

Multiple Dependent Claim Fee
at \$280.00 (140.00) 000.00

✓ Total Filing Fee 776.00

✓ Assignment Recording Fee \$40.00 040.00

Petition to Make Special Fee \$130.00 000.00

 X Oath or Declaration and Power of Attorney

 New X signed unsigned
 Copy from prior application (37 C.F.R. 1.63(d))

 X Certified copy of the following application to substantiate the claim for priority
made in the Declaration to follow:

PCT/GB00/00780 filed 3 March 2000

GB9908808 filed Apr. 16, 1999

Please charge any additional fees required for the filing of this application or credit any
overpayment to Deposit Account No. 50-0320. Please accord this application a May 8, 2001
filing date.

Respectfully submitted,
FROMMER LAWRENCE & HAUG LLP

By: *Thomas J. Kowalski*
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EXPRESS MAIL

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Date of Deposit: October 15, 2001

I hereby certify that this paper or fee is being deposited with the
United States Postal Service "Express Mail Post Office to
Addressee" Service under 37 CFR 1.10 on the date indicated
above and is addressed to: Assistant Commissioner for Patents,
Washington, D.C. 20231.

Edward Nay
(Typed or printed name of person mailing paper or fee)

[Signature]
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